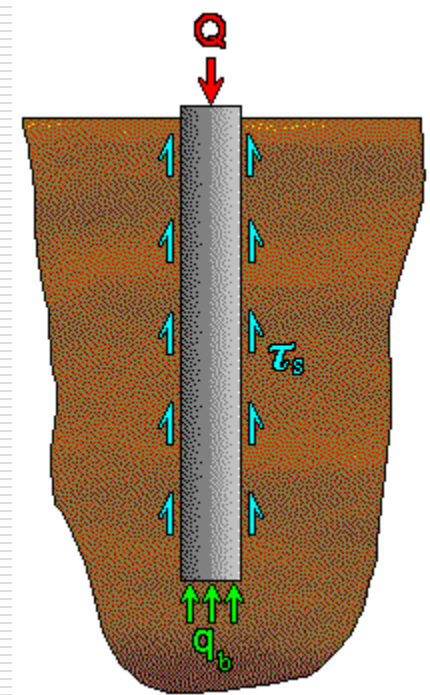


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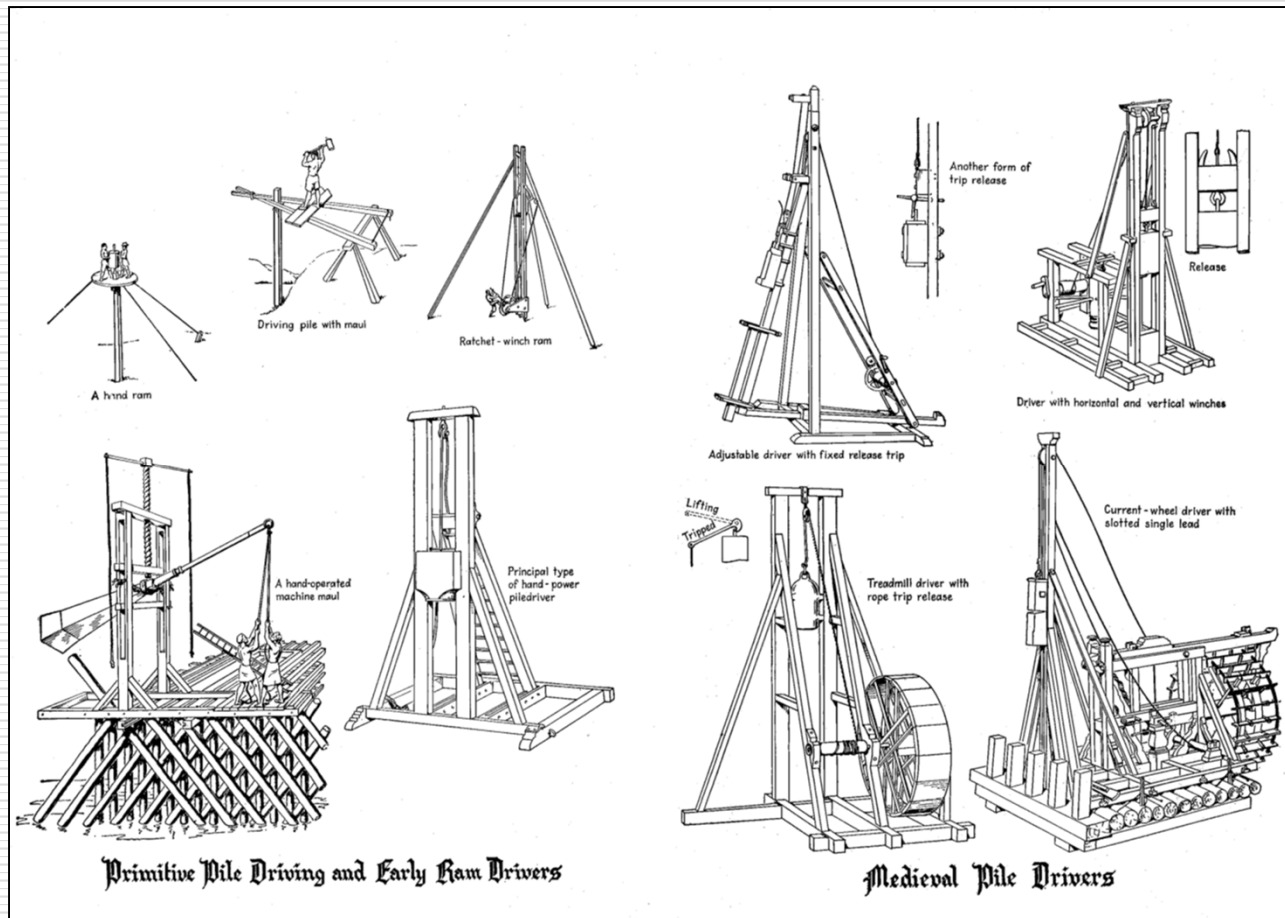
# Principles of Foundation Engineering

Braja M. Das

## Chapter 11 Pile Foundations



# Pile Driving Through The Ages



# Pile Driving in Thailand

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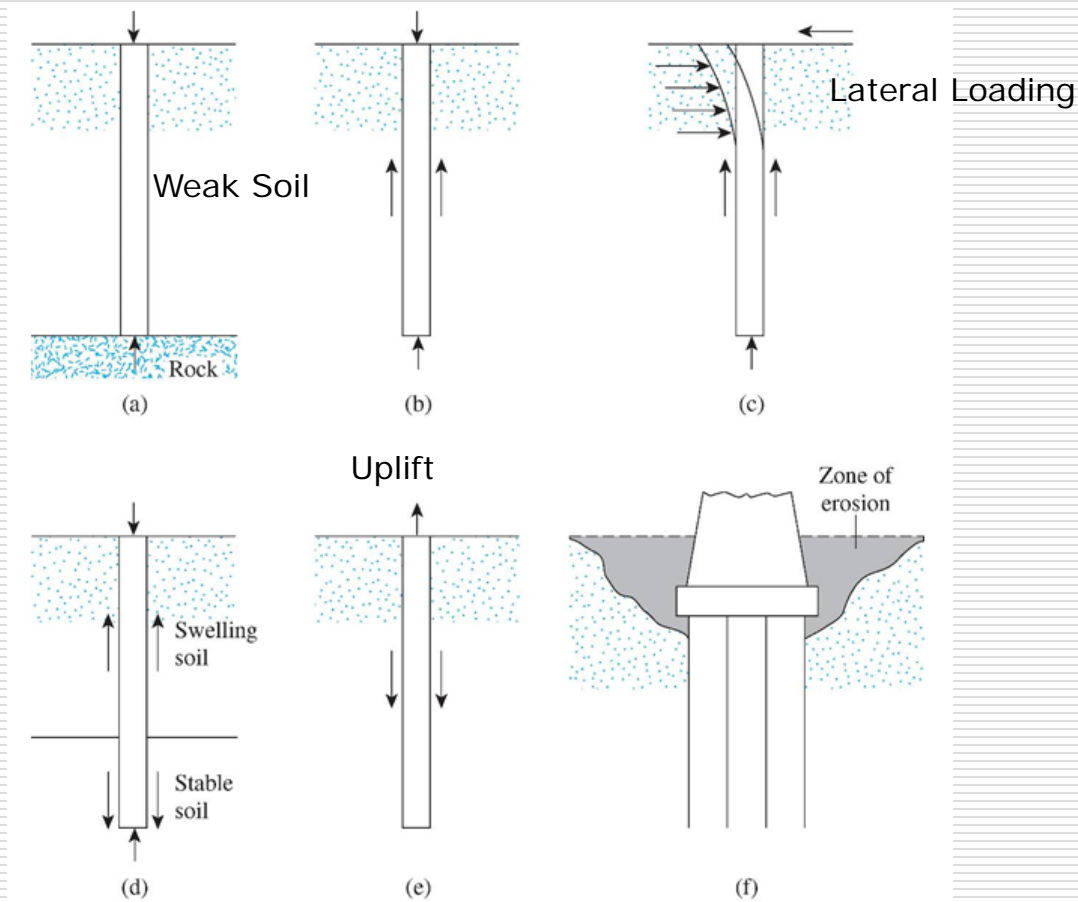


# Why Piles?

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- ❑ Soils are insufficient in strength or compressibility characteristics to support shallow spread foundations.
- ❑ Structural loads are too high to be supported by reasonably size footings.
- ❑ Uplift forces are too high to be resisted by shallow foundations
- ❑ Lateral loads are too high to be resisted by shallow foundations

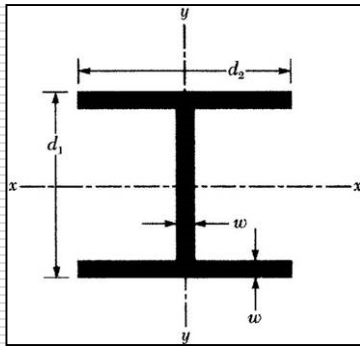
# Conditions that require the use of pile foundations



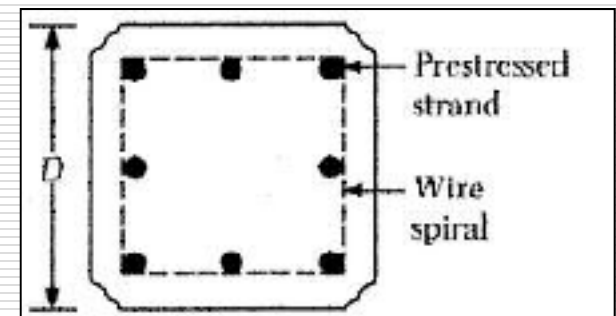
# Typical Pile Types

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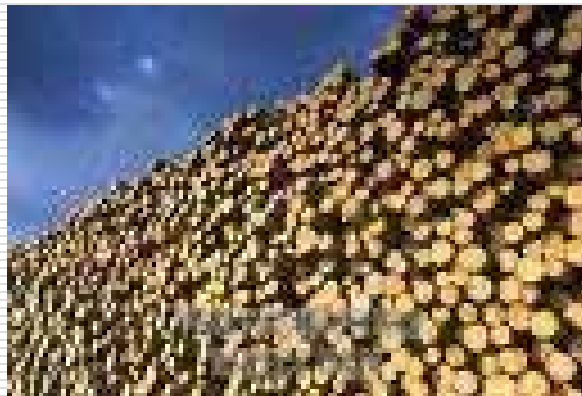
## Steel H-piles



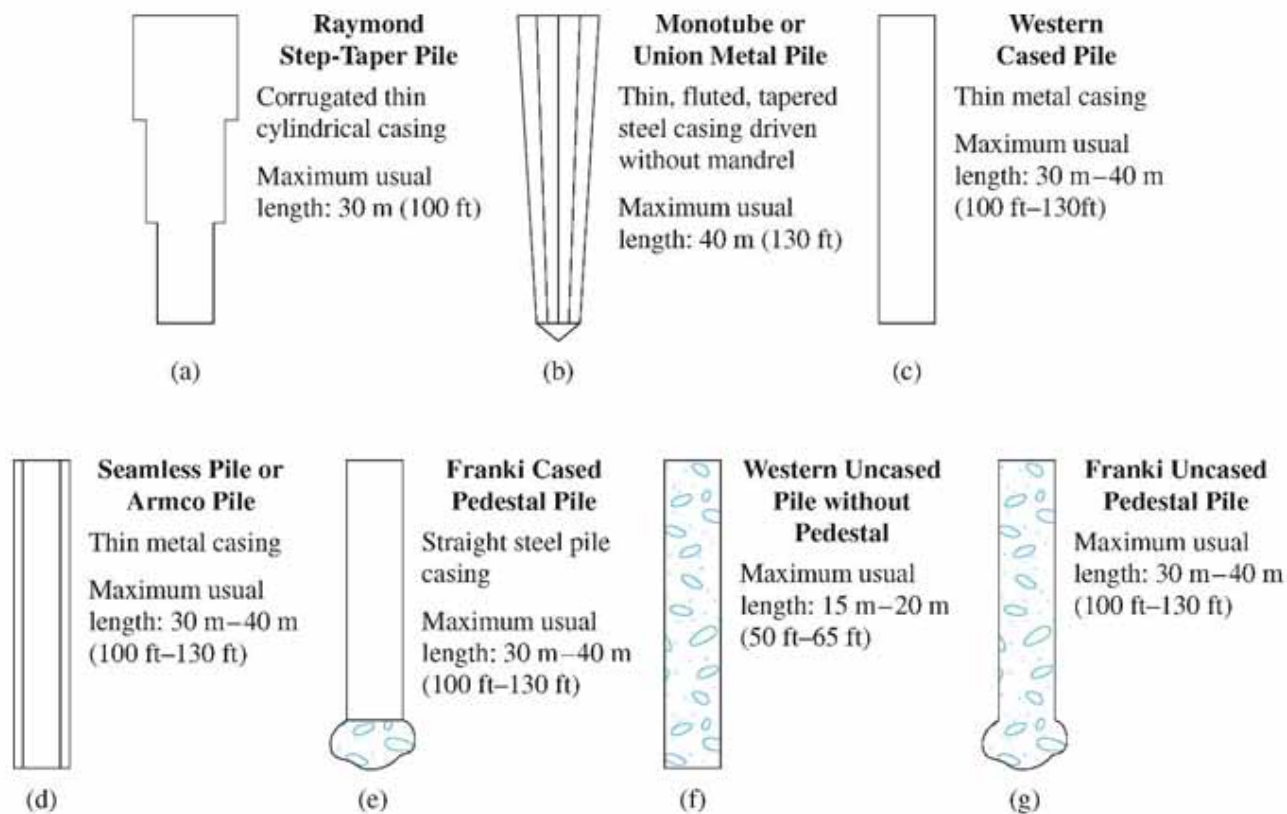
## Prestressed Concrete Piles



## Timber Piles

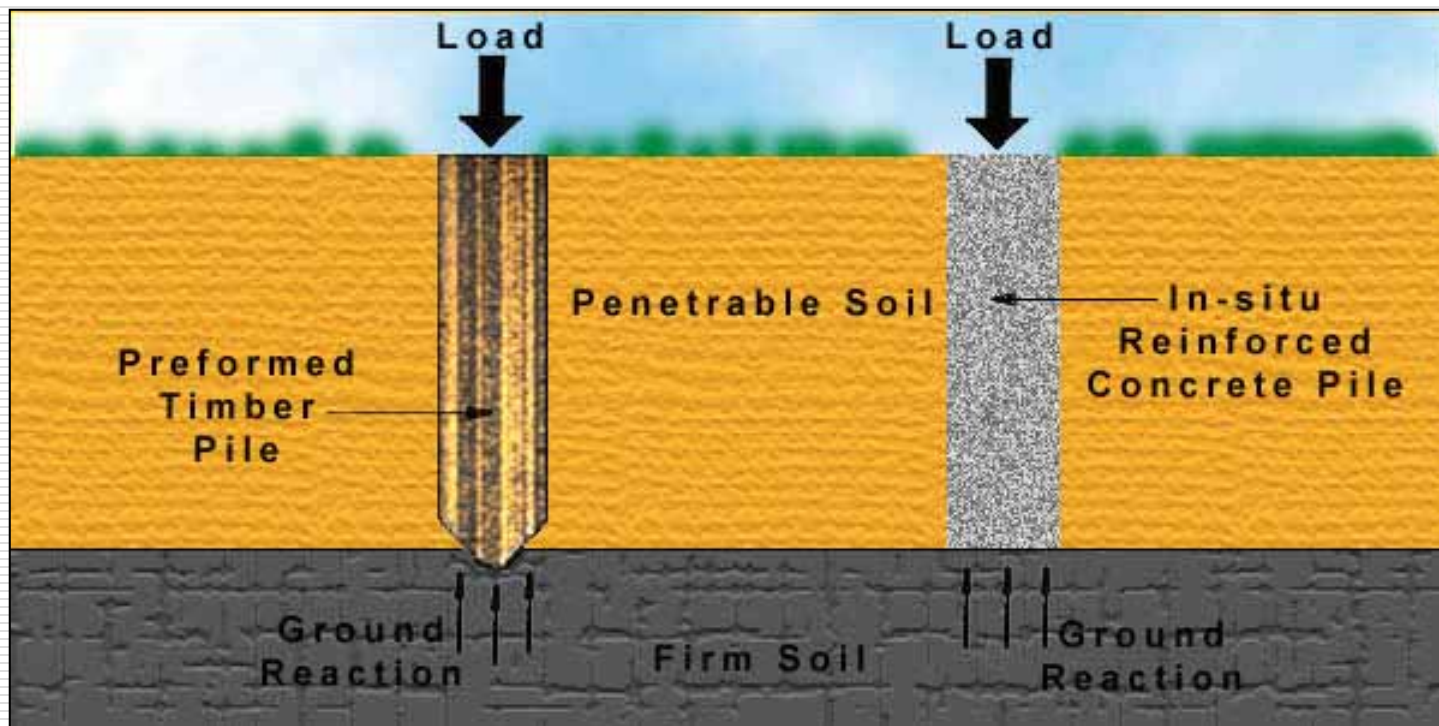


# Cast-in-place concrete piles



# End Bearing Piles

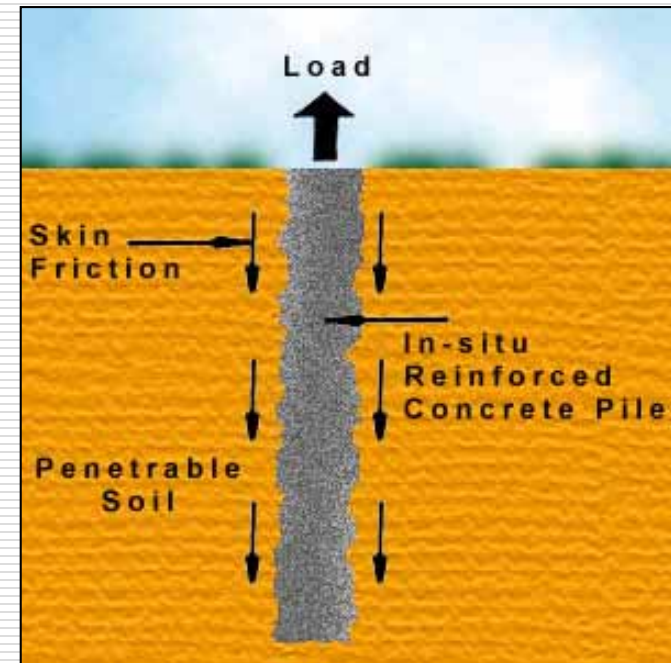
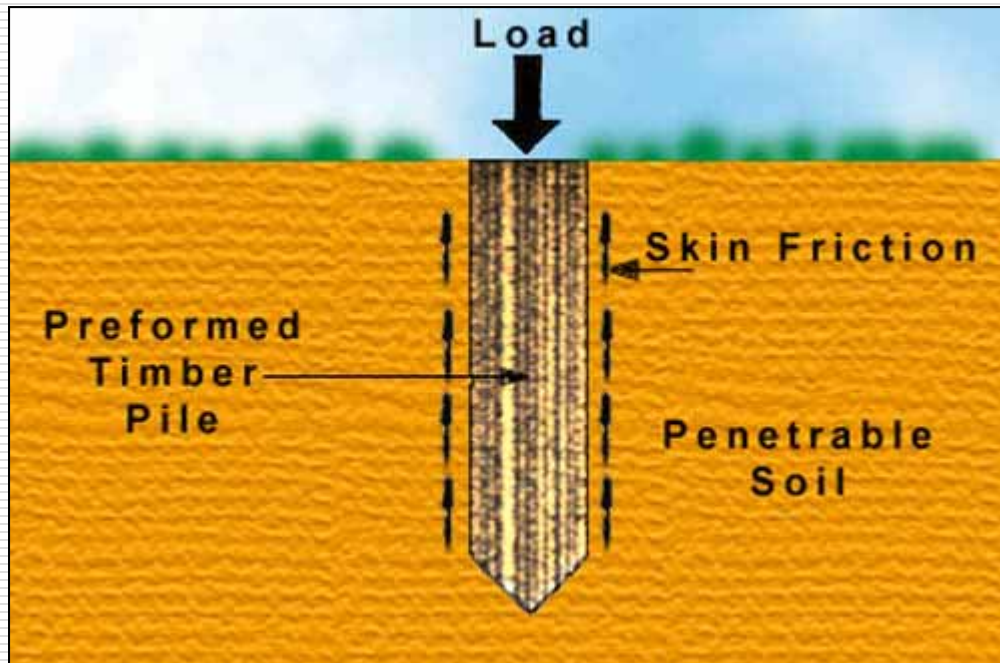
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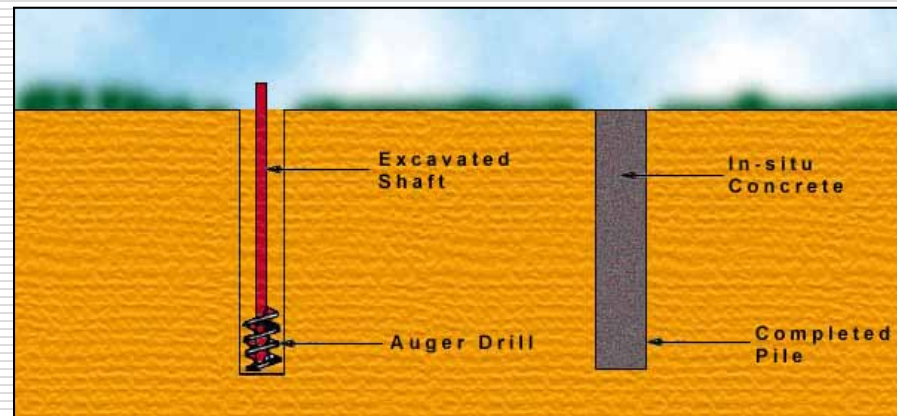
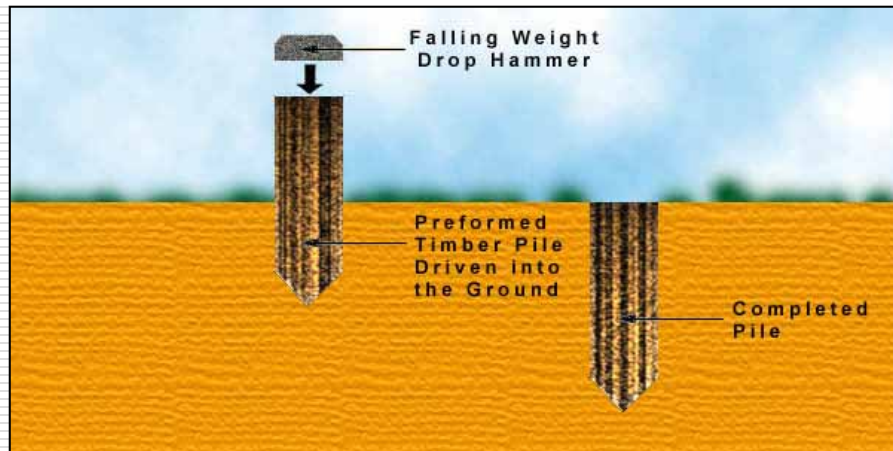
# Friction Piles

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# Displacement Versus Replacement

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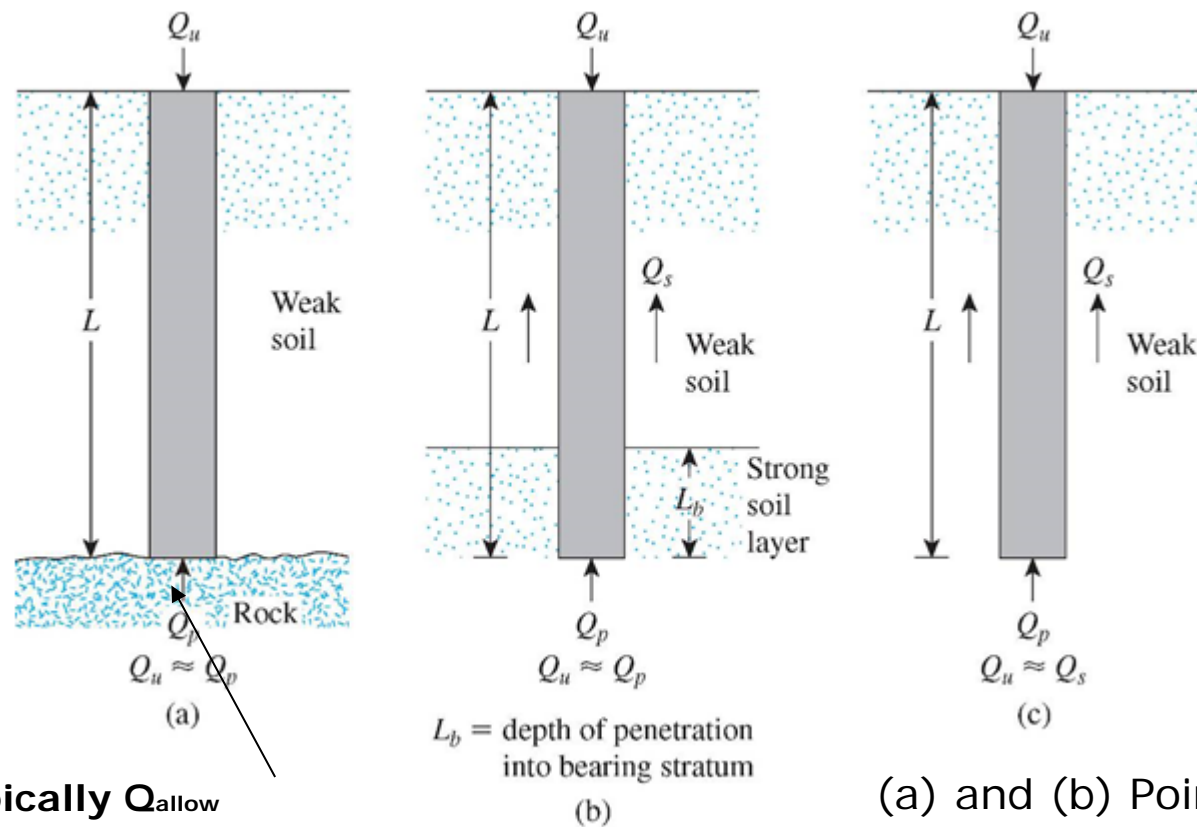


# Tangent Wall Using Augercast

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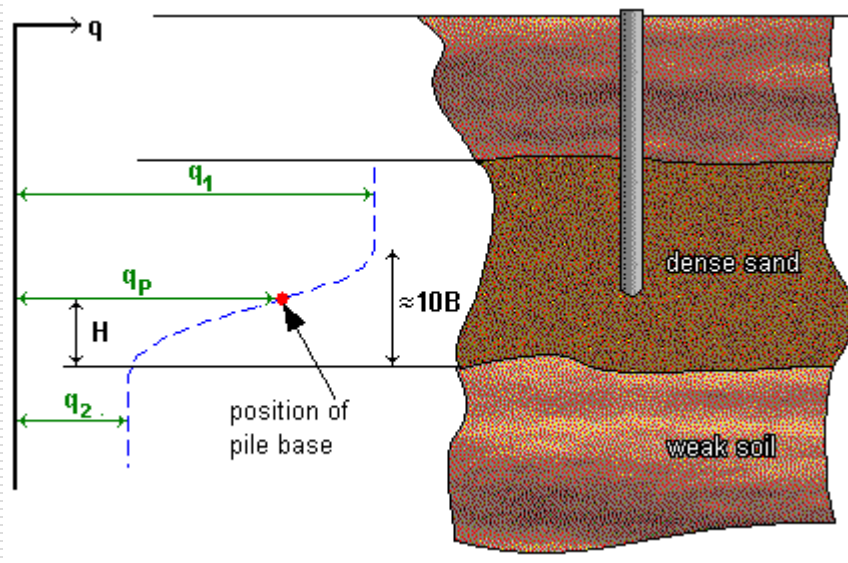
# Point Bearing & Friction Piles



**Typically  $Q_{allow}$   
Based on pile itself in rock**

(a) and (b) Point bearing piles;  
(c) friction piles

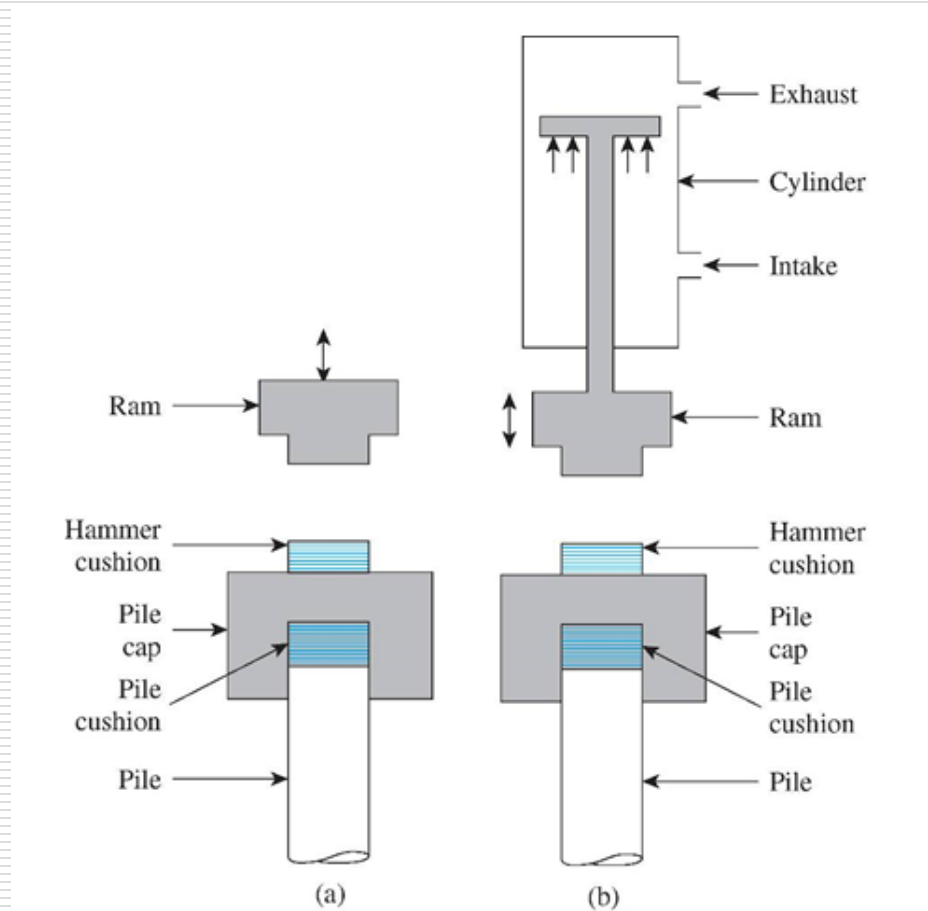
# Weak Under Dense



## Group Settlement Issues

The base resistance at the pile toe is  
 $q_p = q_2 + (q_1 - q_2)H / 10B$  **but**  $< q_1$   
where  $B$  is the diameter of the pile,  $H$  is the thickness between the base of the pile and the top of the weaker layer,  $q_2$  is the ultimate base resistance in the weak layer,  $q_1$  is the ultimate base resistance in the strong layer.

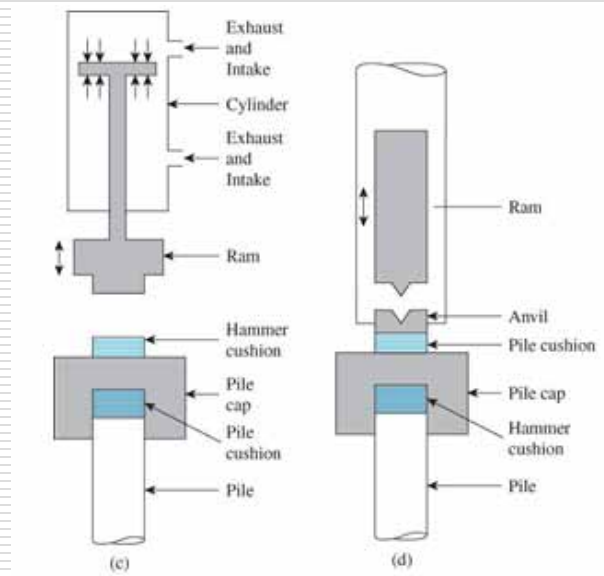
# Pile-driving equipment



(a) drop hammer  
(b) single-acting air or steam



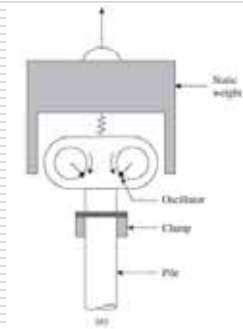
# Pile-driving equipment



(c) double-acting and differential air or steam hammer

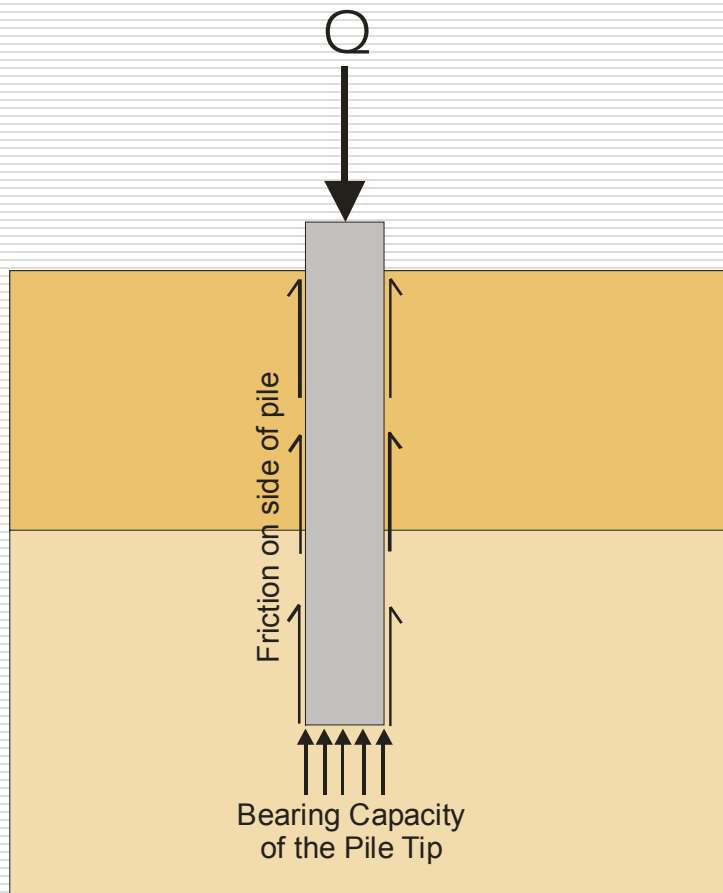
(d) diesel hammer

(e) vibratory pile driver



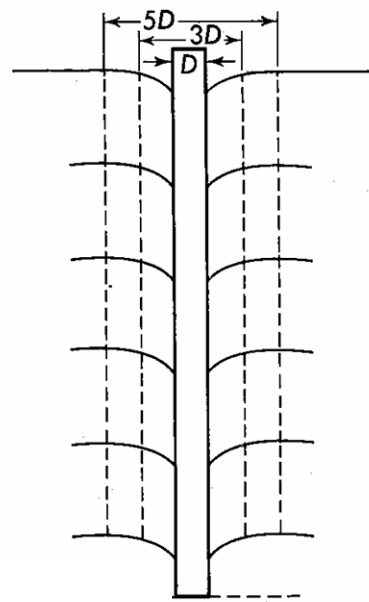
# Pile Capacity Components

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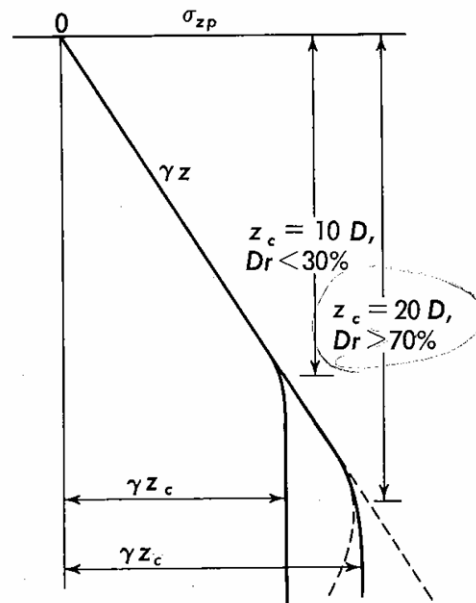




# Stress Adjacent to Pile



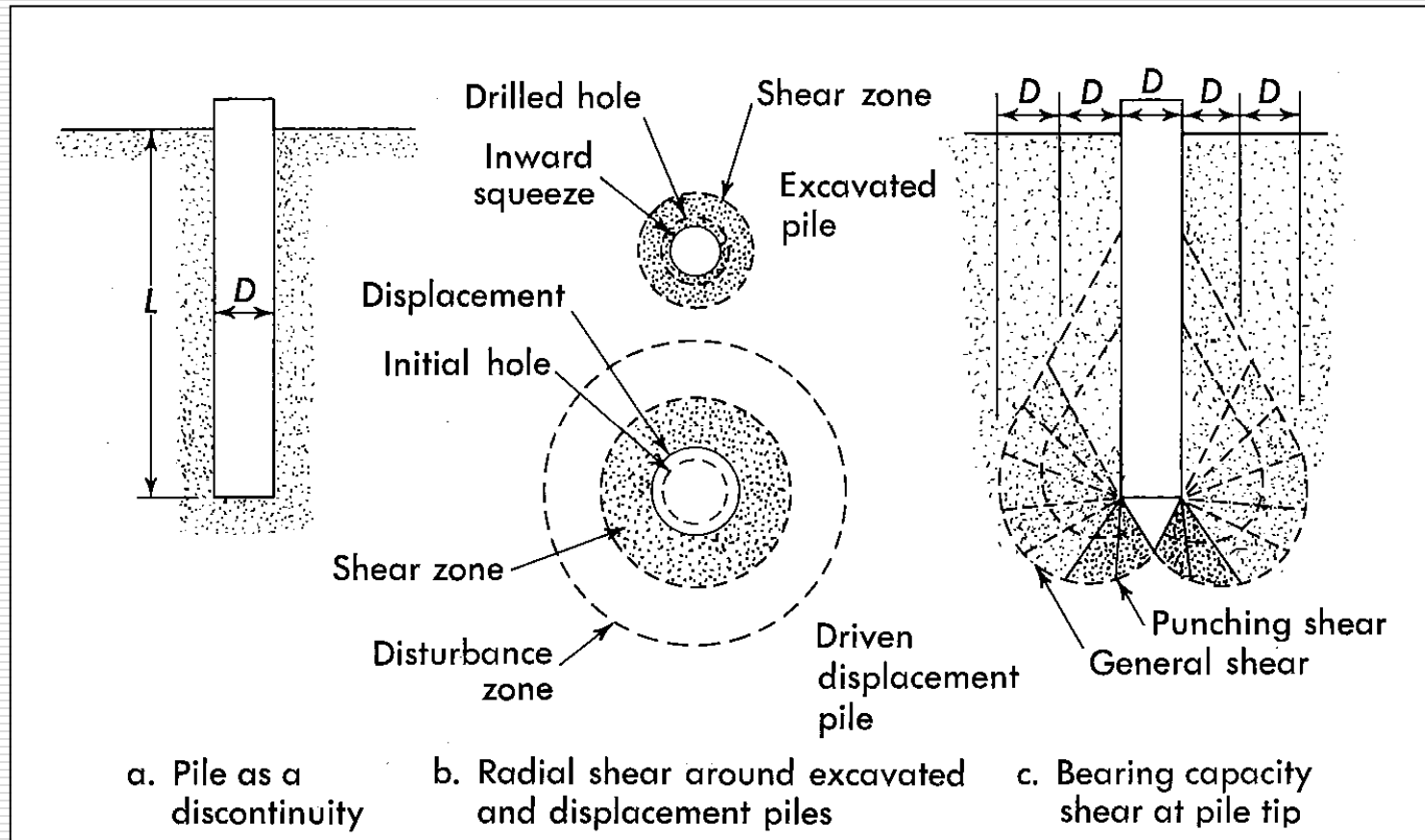
a. Distortion and zone of arching around a pile approaching failure



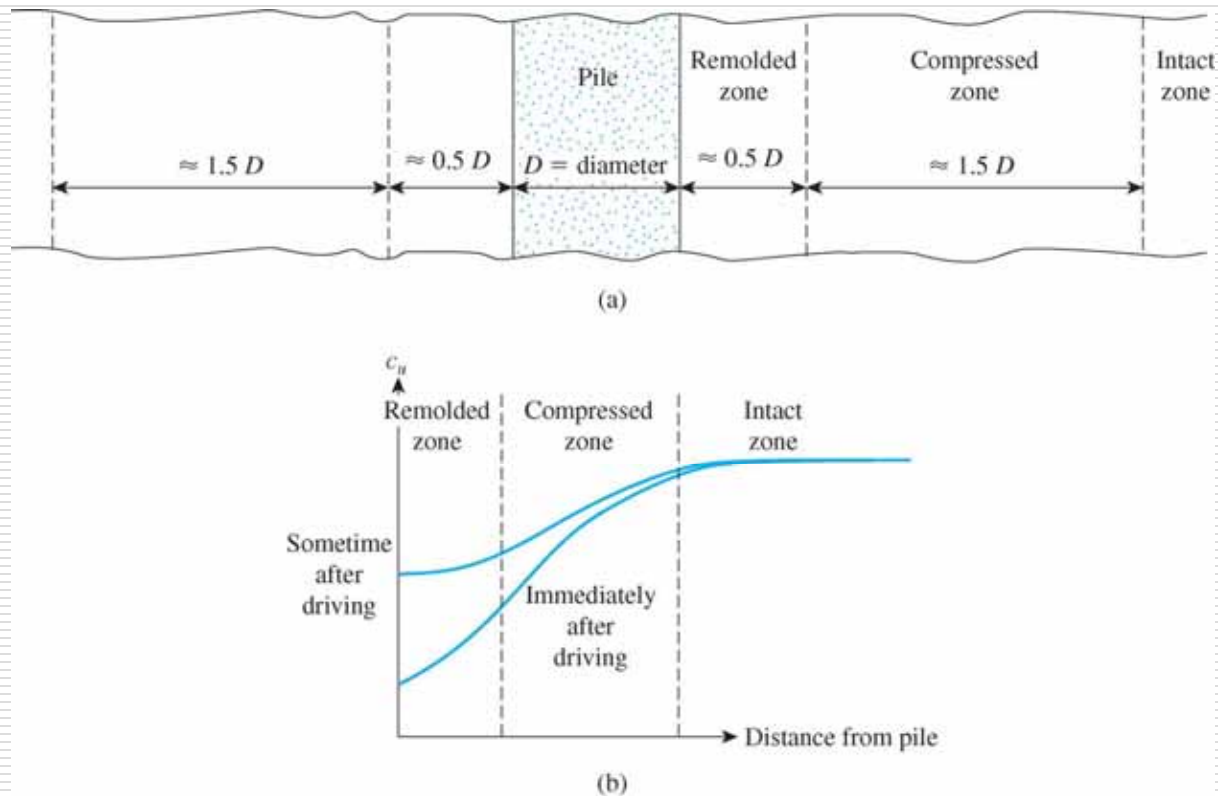
b. Vertical stress,  $\sigma_{zp}$ , adjacent to a loaded pile approaching failure

*Vertical stress adjacent to a loaded pile. (Adapted from Vesic.<sup>10:7</sup>)*

# Pile Behavior

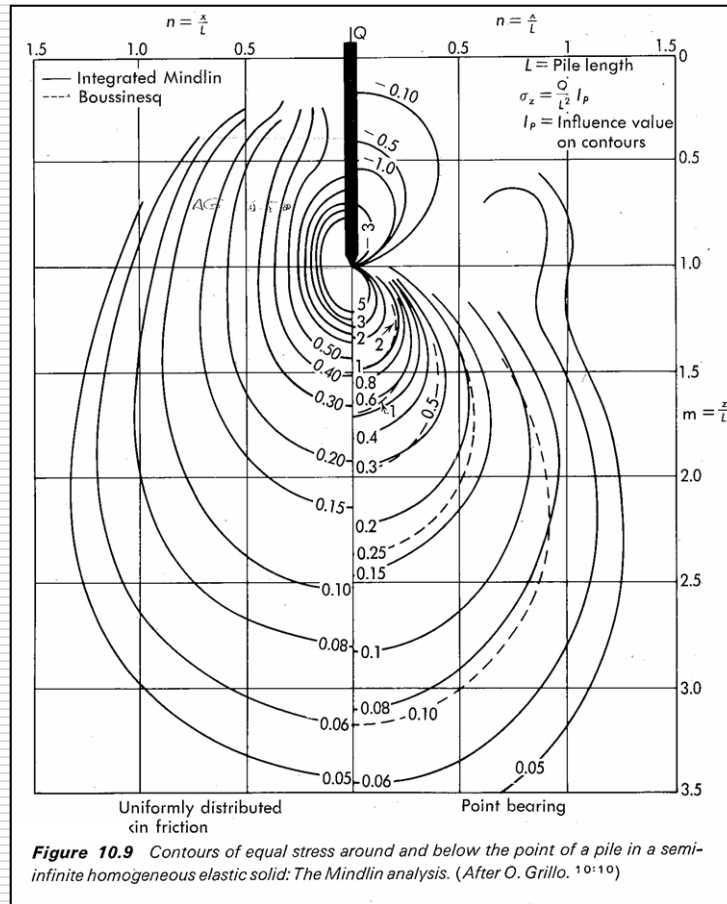


# Zones Around a Driven Pile

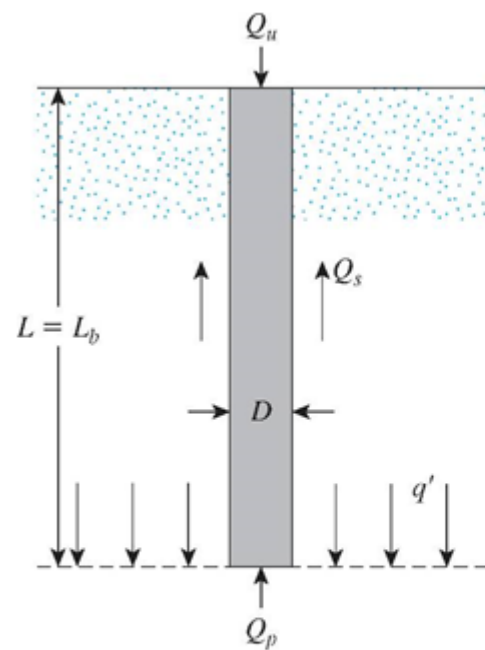


**Figure 11.25** (a) Remolded or compacted zone around a pile driven into soft clay;  
(b) Nature of variation of undrained shear strength ( $c_u$ ) with time around a pile driven into soft clay

# Stresses Around Pile

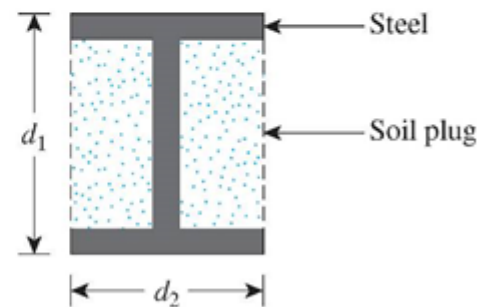
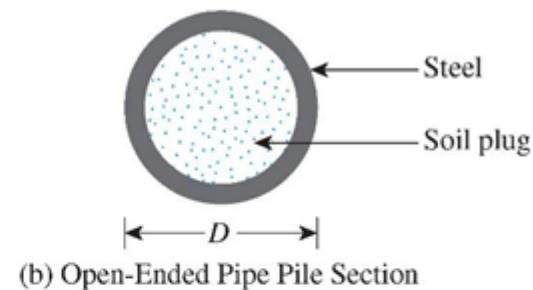


# Ultimate load-carrying capacity of a pile



$L$  = length of embedment  
 $L_b$  = length of embedment in bearing stratum

(a)

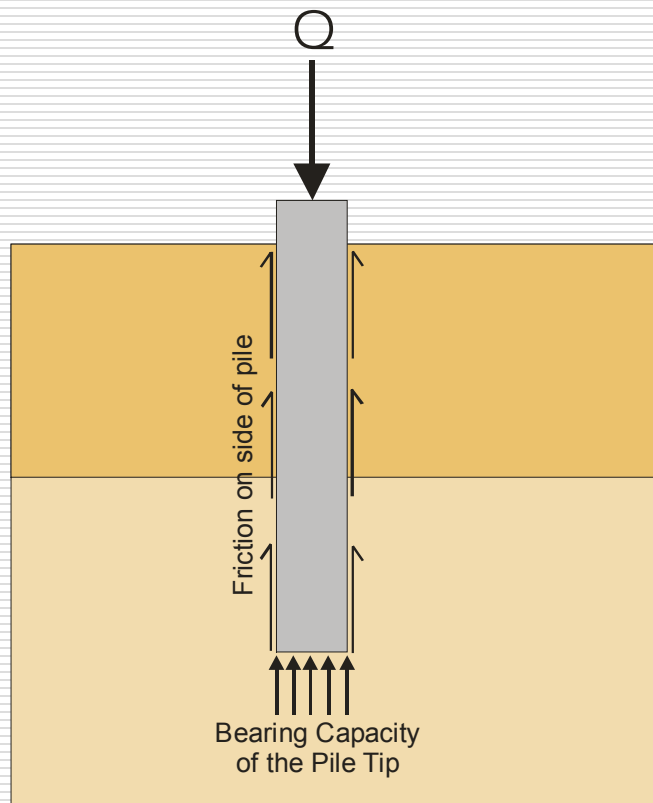


(c) H-Pile Section

(Note:  $A_p$  = area of steel + soil plug)

# Pile Capacity Design

---



$$Q_{ult} = Q_{point} + Q_{friction}$$

# Many Methods

---

- As shown in the textbook, there are many methods for determining compressive and tensile pile capacity.
- Many of them are soil specific – either all clay or all sand. Rarely do you have that situations (off shore platforms)
- Many methods are testing specific – CPT, CPTu, SPT, laboratory test results, correlations with field testing, etc.
- We are going to limit the number of methods covered.
- One method – Sower's Method is not in the book, but allows layered soils with traditional  $c-\phi$  soil types.

# Point Resistance - Sowers

---

Limiting Values


$$q_u = q_p = c' \cdot N_c^* + q_p \cdot N_q^* + (\gamma \cdot B) / 2 \cdot N_\gamma^*$$

$N_c^*$  = cohesion bearing capacity factor for piles

$N_q^*$  = embedment bearing capacity factor for piles

$N_\gamma^*$  = pile diameter bearing capacity factor for piles

$$Q_p = q_p^* A_p$$



# Limiting Values for Overburden

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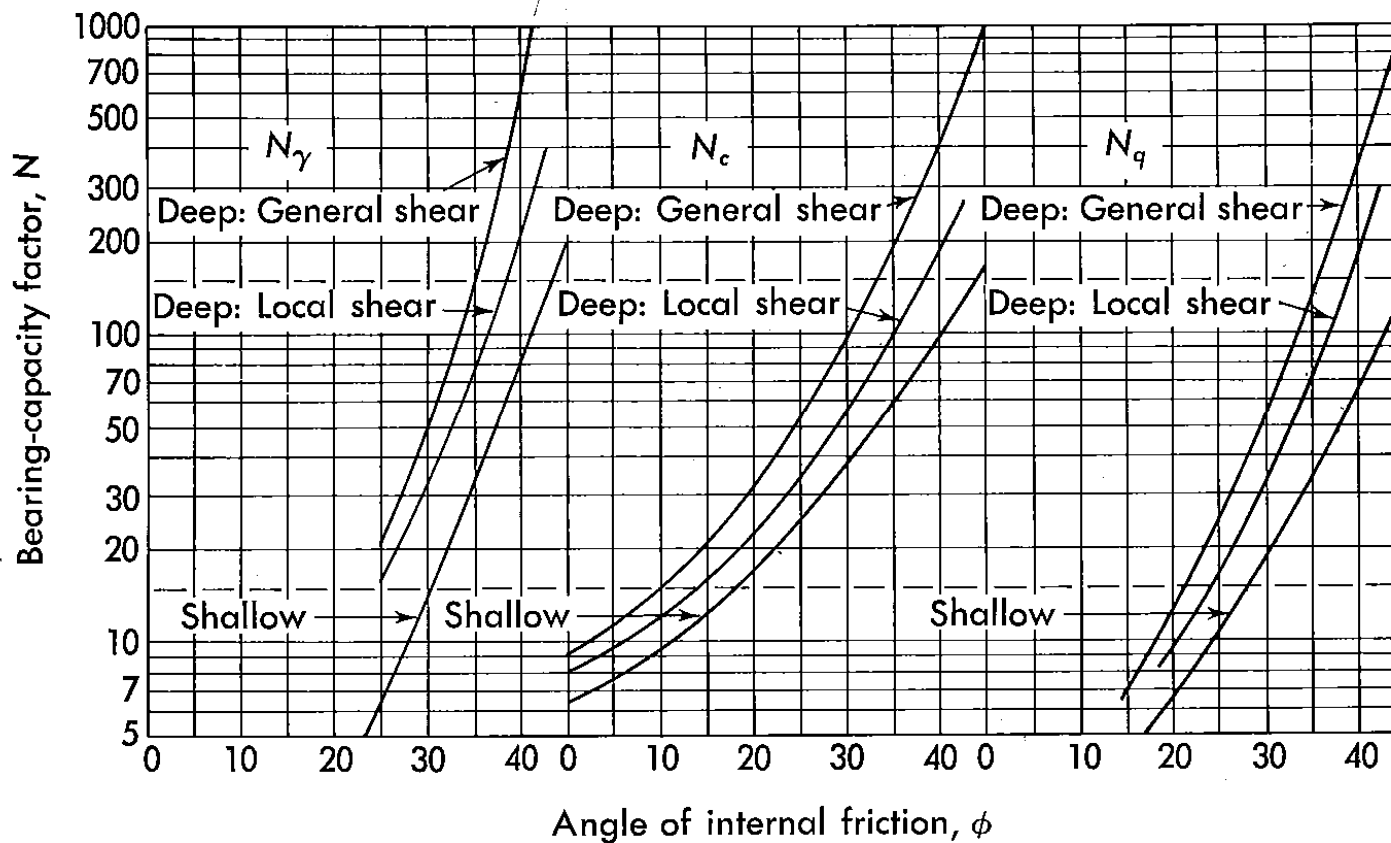
Use lower of these values

$$\tan \phi \cdot Nq^* \text{ (kips/sq.ft.)} \longleftarrow \text{Typically lowest}$$

$$\sigma'_o \cdot Nq^*$$

$$\sigma'_{\text{crit}} \cdot Nq^*$$

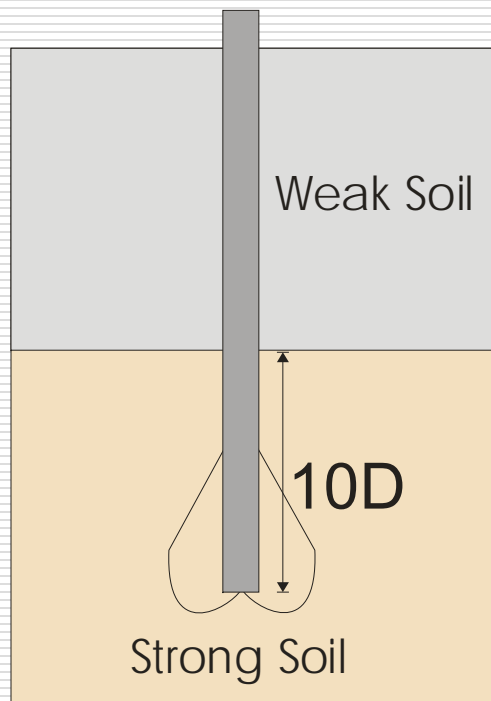
# Sowers Pile Capacity Factors



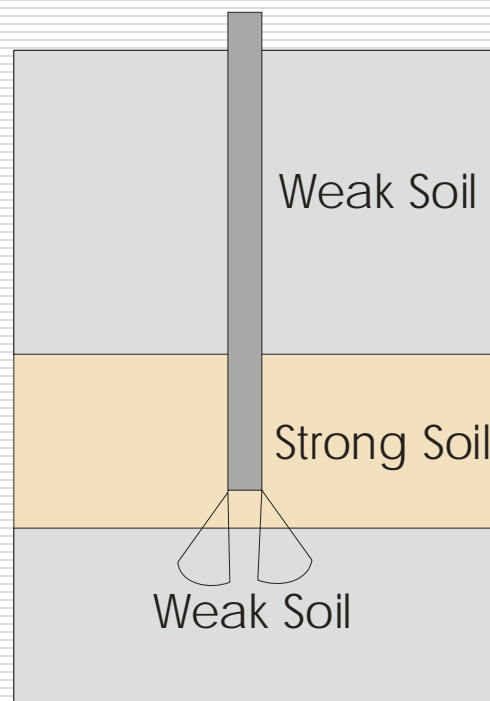
# General, Local, or Shallow?

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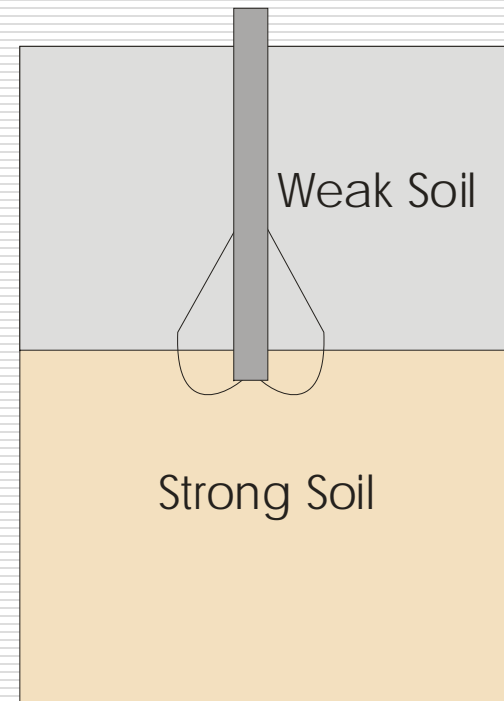
General Shear



Deep Local Shear



Shallow Shear



also short pile

# Meyerhof Methods

## For sands

$$q_p = \sigma'_o \cdot N_q^* = < 0.5 \cdot p_a \cdot N_q^* \cdot \tan \phi'$$

## For saturated clays

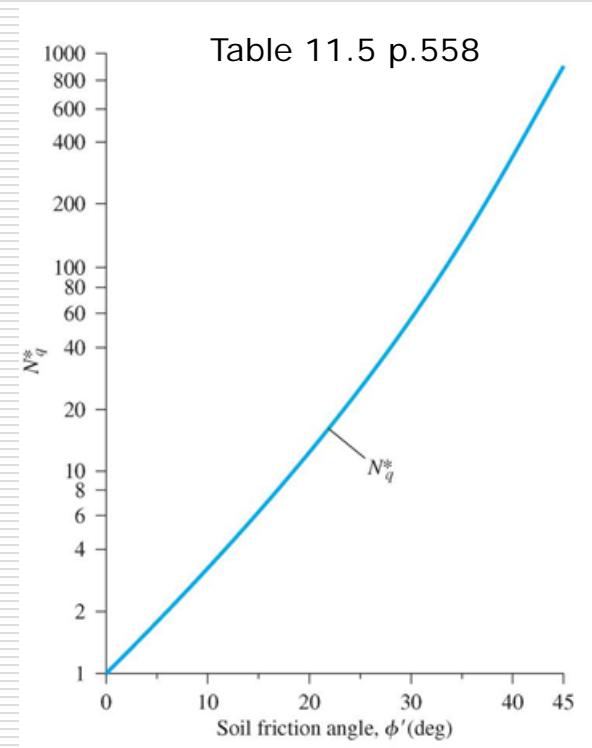
$$q_p = 9c_u$$

$$Q_p = q_p \cdot A_p$$

## SPT Method

$$q_p = 0.4p_a(N1)_{60} L/D = < 4p_a(N1)_{60}$$

where  $p_a$  is atmospheric pressure – 1 tsf

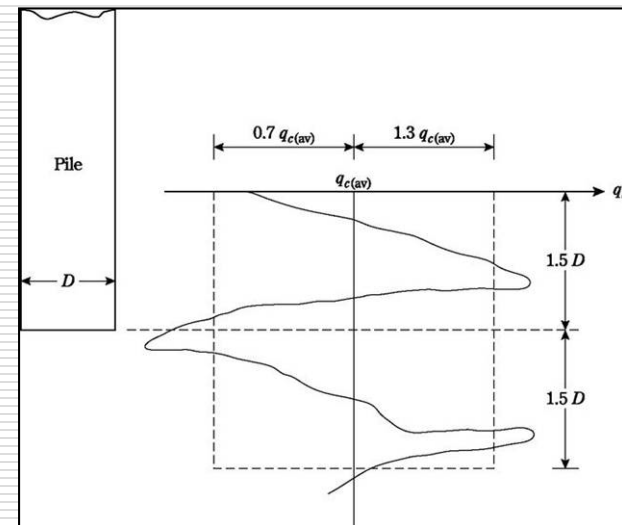


# CPT Method

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$$Q_p = q_{c(eq)} \cdot k_b$$

- 1 - Use  $q_c$   $1.5D$  above &  $1.5 D$  below pile tip
- 2 - Calculate average  $q_c$
- 3 - Eliminate  $q_c$  values higher than  $1.3q_{c(avg)}$  & values that are lower than  $0.7 q_{c(avg)}$
- 4 - Recalculate  $q_{c(avg)}$



$k_b = 0.6$  for clays and silts  
 $= 0.375$  for sands and gravels

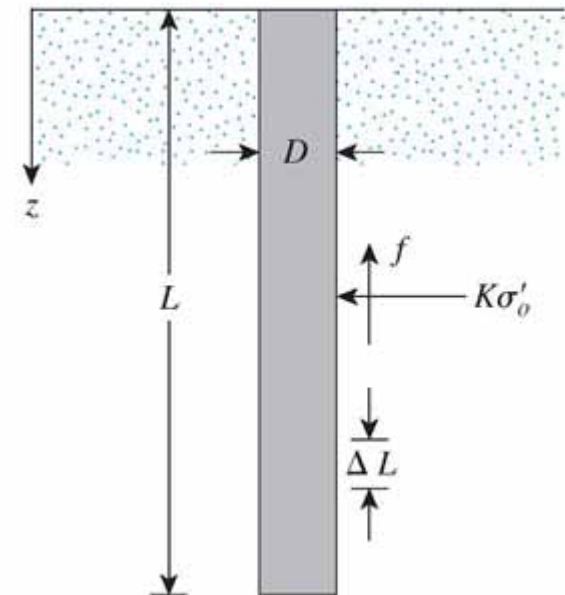
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# Frictional Resistance

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$$Q_s = \sum (p \cdot \Delta L \cdot f)$$

where  $p$  = perimeter of the pile  
 $\Delta L$  = incremental pile length over  
which  $p$  and  $f$  are taken  
 $f$  = unit friction resistance



# Sowers Equations

---

## Frictional Capacity

$$f = c' + \sigma'_h \cdot \tan \phi' \quad \text{Augercast Piles or Drilled Shafts}$$

or

$$f = c_a + \sigma'_h \cdot \tan \delta \quad \text{Timber, Steel and Concrete Piles}$$

$$c_a = 0.9c' \quad \text{when } c' < 1 \text{ ksf}$$

$$c_a = 0.9 + 0.3(c' - 1) \quad \text{when } c' > 1 \text{ ksf}$$

$$\sigma'_h = K_s \cdot \sigma'_o \quad \text{with } \sigma'_o \leq \gamma_{\text{sat}} \cdot Z_{\text{crit}} \quad 10 \text{ to } 20 D$$

# Sowers Frictional Factors

## COEFFICIENT OF LATERAL EARTH PRESSURE IN COHESIONLESS SOILS ADJACENT TO PILE AT FAILURE

Soil	Displacement Condition	Ks
Loose Sand Dr<30%	Jettied Pile	0.5 to 0.75
	Drilled Pile	0.75 to 1.5
	Driven Pile	2 to 3
Dense Sand Dr>70%	Jettied Pile	0.5 to 1
	Drilled Pile	1 to 2
	Driven Pile	3 to 5

## COEFFICIENT OF FRICTION, COHESIONLESS SOILS AGAINST PILES AND SIMILAR STRUCTURES

Material	Coefficient of Friction tan $\delta$	$\delta$ (deg)
Wood	0.4	22
Rough Concrete Cast Against Soil	tan $\phi$	$\phi$
Smooth Formed Concrete	0.3 to 0.4	17
Clean Steel	0.2	11
Rusted Steel	0.4	22
Corrugated Metal	tan $\phi$	$\phi$



# Sowers Method

PROJECT INFORMATION				PILE INFORMATION						BORING INFORMATION				
Project Name : _____				Pile Type: _____						Boring : _____				
Project #: _____				Pile Shape: _____						Critical Depth : _____ Pile D				
Calculations By : _____				Installation Method : _____						Groundwater Depth : _____ feet				
Date : _____				Top Dimension: _____ Inches						P'critical : _____ ksf				
Checked By : _____				Bottom Dimension: _____ Inches										
Date Checked : _____				Pile Length: _____ Feet										
				Skin Friction - tan δ: _____										
				Tip Area : _____ Square Feet										
				Average Pile Dimension : _____ Inches										
CAPACITY CALCULATIONS														
STRATA DEPTHS (FEET)		Cohesion (ksf)	φ	Ks	Zavg. (feet)	P'o (ksf)	P'o OR P'crit. (ksf)	Tan φ or Tan δ	Total Shear (ksf)	Pile Area (Sq. Ft.)	Skin Friction (kips)	Downdrag		Remarks
Top	Bottom											Yes	Kips	
END BEARING C & PHI =												Total Downdrag		
						Skin Friction (less downdrag) .....						kips		
												tons		
						End Bearing								
						Local .....						tons		
						General .....						tons		
						Shallow .....						tons		
												% Friction	% End Bearing	
						Total Pile Capacity - Local .....						tons		
						Total Pile Capacity - General .....						tons		
						Total Pile Capacity - Shallow .....						tons		
						Allowable Pile Capacity - Local .....						tons		
						Allowable Pile Capacity - General .....						tons		
						Allowable Pile Capacity - Shallow .....						tons		
						Allowable Uplift Capacity .....						tons		

**BEARING CAPACITY FACTORS**

Factor	General Shear	Local Shear	Shallow Shear
Ng			
Nc			
Nq			

**Notes:**  
Cohesion values automatically reduced by 33% in drilled piles  
Uplift = Full skin friction / F.S. excluding downdrag

$$q_p = c' \cdot N_c^* + q \cdot N_q^* + q \cdot D \cdot N_\gamma^*$$

Remember limiting factors for  $q \cdot N_q$

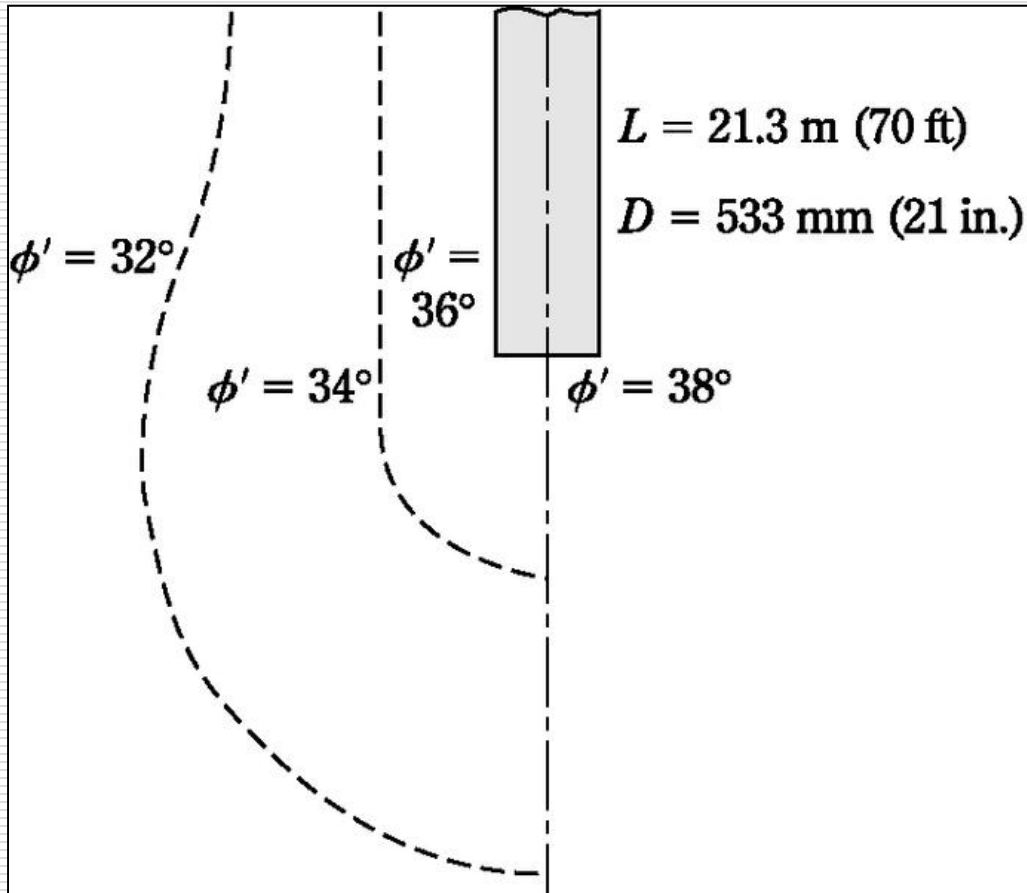
# Note About Pile Weight

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- ❑ Pile weight should be subtracted from  $Q_u$  especially for very large piles.
- ❑ Pile weight should be added to uplift capacity.

# Compaction of sand near driven piles

(after Meyerhof, 1961)



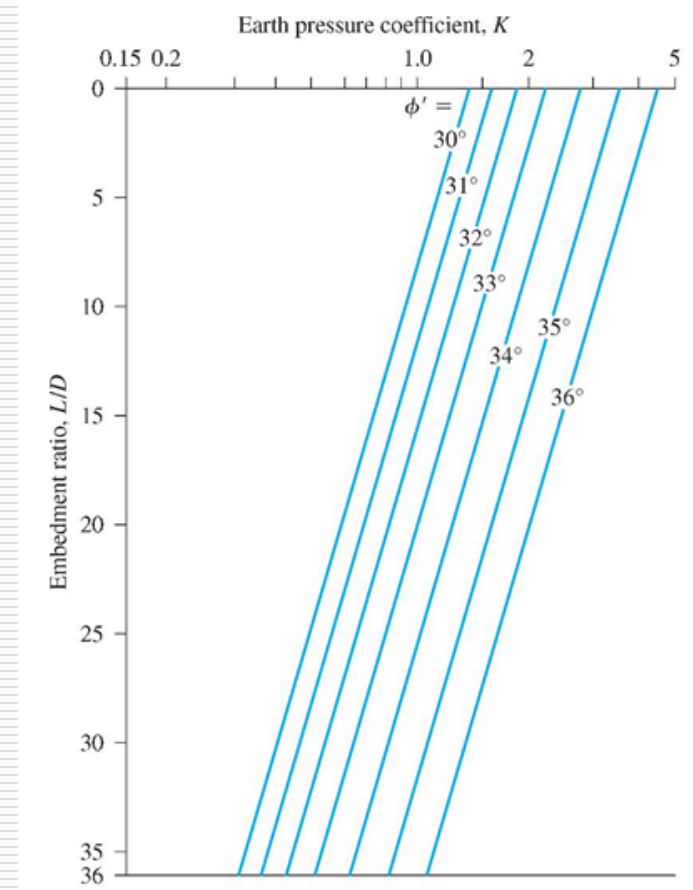
**Valid only for  
displacement  
piles**

# Lateral Earth Pressure Method

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## Sands Only

$$Q_s = \sum K \cdot \sigma'_o \cdot \tan(0.8\phi') \cdot p \cdot \Delta L$$



# Meyerhof-SPT Method

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## High Displacement Piles

$$f_{av} = 0.02p_a(N_1)_{60}$$

where  $p_a$  = atmospheric pressure = 1 tsf

## Low Displacement Piles

$$f_{av} = 0.01p_a(N_1)_{60}$$

$$Q_s = \sum f_{av} \cdot p \cdot \Delta L$$

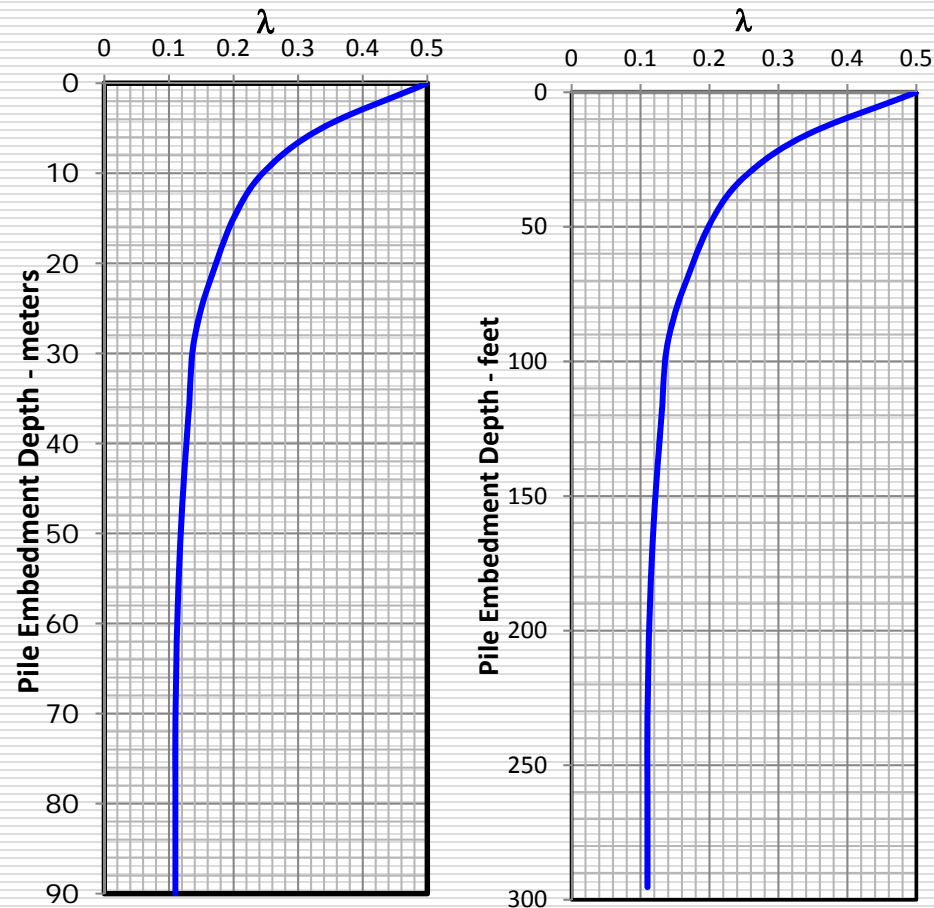
# $\lambda$ method - Clays

$$f_{av} = \lambda(\overline{\sigma'_o} + 2c_u)$$

$$Q_s = f_{av} \cdot p \cdot L$$

Table 11.9 Page 576

Drilling Platforms



# $\lambda$ Method Example

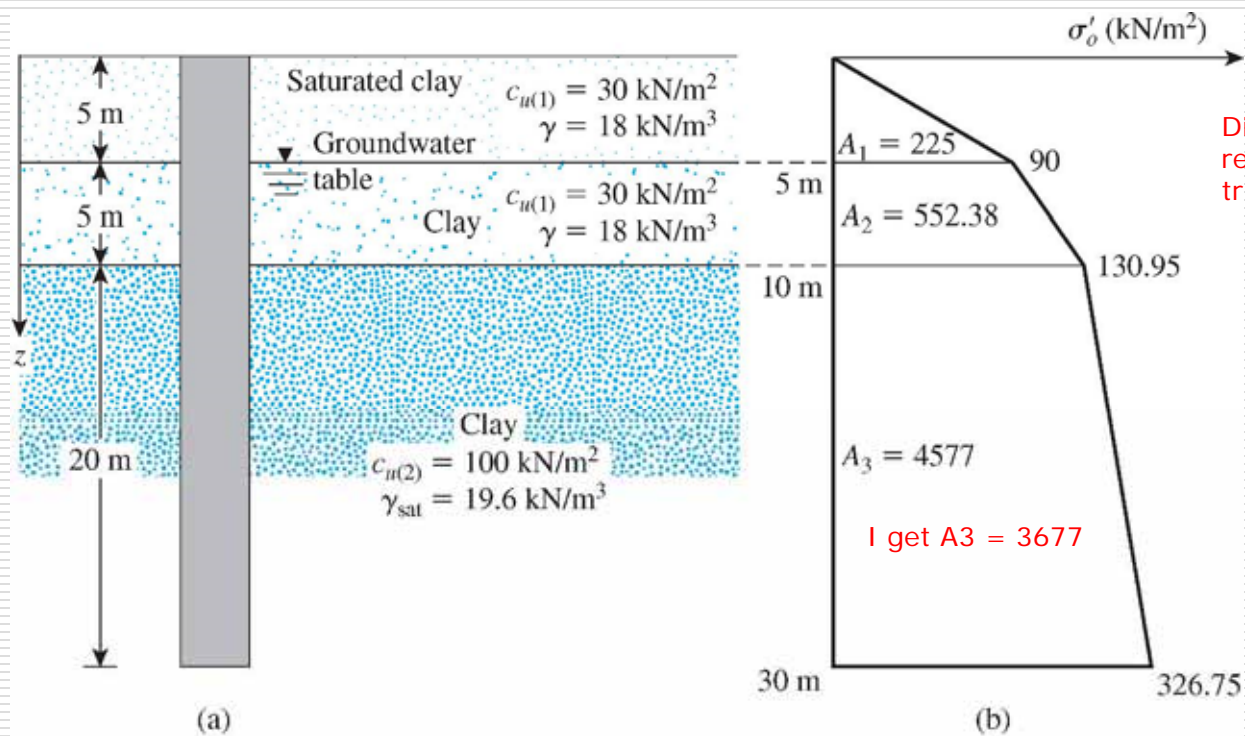


Figure 11.22 Estimation of the load bearing capacity of a driven-pipe pile

# $\lambda$ Example Continued

**Table 11.9** Variation of  $\lambda$  with pile embedment length,  $L$

Embedment length, $L$ (m)	$\lambda$
0	0.5
5	0.336
10	0.245
15	0.200
20	0.173
25	0.150
30	0.136
35	0.132
40	0.127
50	0.118
60	0.113
70	0.110
80	0.110
90	0.110

**Table 11.10** Variation of  $\alpha$  (interpolated values based on Terzaghi, Peck and Mesri, 1996)

$\frac{c_u}{p_a}$	$\alpha$
$\leq 0.1$	1.00
0.2	0.92
0.3	0.82
0.4	0.74
0.6	0.62
0.8	0.54
1.0	0.48
1.2	0.42
1.4	0.40
1.6	0.38
1.8	0.36
2.0	0.35
2.4	0.34
2.8	0.34

Note:  $p_a$  = atmospheric pressure  
 $\approx 100 \text{ kN/m}^2$  or  $2000 \text{ lb/ft}^2$



# λ Example Continued

(1) From Eq. (11.55),

$$Q_s = \Sigma \alpha c_u p \Delta L$$

[Note:  $p = \pi(0.406) = 1.275\text{m}$ ] Now the following table can be prepared.

Depth (m)	$\Delta L$ (m)	$c_u$ (kN/m <sup>2</sup> )	$\alpha$ (Table 11.10)	$\alpha c_u p \Delta L$ (kN)
0-5	5	30	0.82	156.83
5-10	5	30	0.82	156.83
10-30	20	100	0.48	1224.0

$$Q_s = 1538 \text{ kN}$$

(2) From Eq. 11.51,  $f_{av} = \lambda(\bar{\sigma}'_v + 2c_u)$ . Now, the average value of  $c_u$  is

$$\frac{c_{u(1)}(10) + c_{u(2)}(20)}{30} = \frac{(30)(10) + (100)(20)}{30} = 76.7 \text{ kN/m}^2$$

To obtain the average value of  $\bar{\sigma}'_v$ , the diagram for vertical effective stress variation with depth is plotted in Figure 11.22b. From Eq. (11.52),

$$\bar{\sigma}'_v = \frac{A_1 + A_2 + A_3}{L} = \frac{225 + 552.38 + 4577}{30} = 178.48 \text{ kN/m}^2$$

From Table 11.9, the magnitude of  $\lambda$  is 0.136. So

$$f_{av} = 0.136[178.48 + (2)(76.7)] = 45.14 \text{ kN/m}^2$$

Hence,

$$Q_s = pL f_{av} = \pi(0.406)(30)(45.14) = 1727 \text{ kN}$$

# Rock

---

$$q_p = q_u(N_\phi + 1)$$

where  $N_\phi = \tan^2(45 + \phi'/2)$

$q_u$  = unconfined compressive  
strength of rock

$$q_{u(\text{design})} = q_{u(\text{lab})}/5$$

$$Q_p = q_p \cdot A_p$$

## ROCK QUALITY<sup>‡</sup>

RQD (%)	DIAGNOSTIC DESCRIPTION	ROCK PARAMETER FIELD/LAB RATIO
0 - 25	Very Poor	0.15
25 - 50	Poor	0.20
50 - 75	Fair	0.25
75 - 90	Good	0.30 to 0.70
90 - 100	Excellent	0.70 to 1.00

# Rock Strength

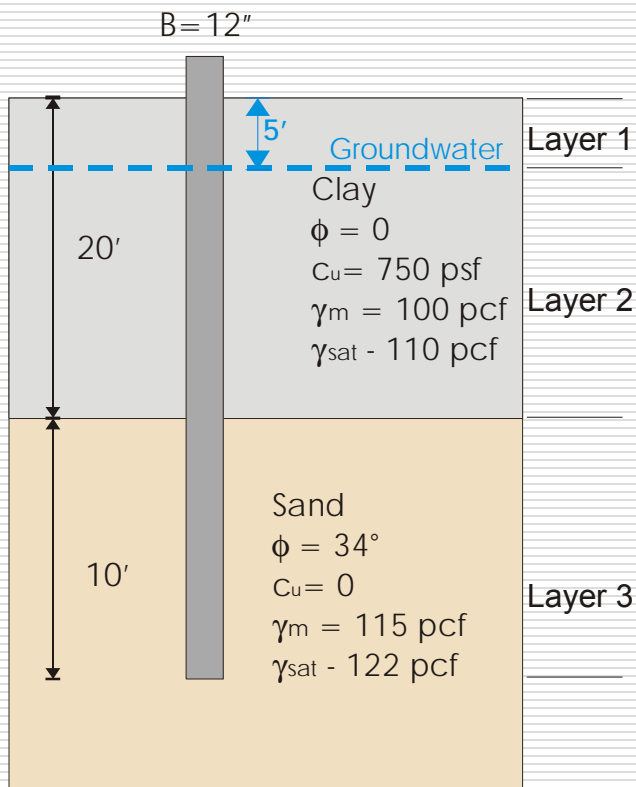
Type of rock	$q_u$	
	MN/m <sup>2</sup>	lb/in <sup>2</sup>
Sandstone	70–140	10,000–20,000
Limestone	105–210	15,000–30,000
Shale	35–70	5000–10,000
Granite	140–210	20,000–30,000
Marble	60–70	8500–10,000

Type of rock	Angle of friction, $\phi'$ (deg)
Sandstone	27–45
Limestone	30–40
Shale	10–20
Granite	40–50
Marble	25–30

# Problem

## Square Concrete Pile



### Method Sowers

$Z_{crit} = 20D = 20(12/12) = 20$  feet  $\sigma'_{crit} = (5(100) + 15(110 - 62.4))/1000 = 1.21$  ksf  
For clay,  $c_a = 0.9c'$  for  $c' < 1$  ksf so  $c_a = 0.9(0.75 \text{ ksf}) = 0.675$  ksf

$\sigma'_o$  @ center of Layer 1 =  $2.5(100)/1000 = 0.25$  ksf

$\sigma'_o$  @ center of Layer 2 =  $(5(100) + 7.5(110 - 62.4))/1000 = 0.86$  ksf

$\sigma'_o$  @ center of Layer 3 =  $(5(100) + 15(110 - 62.4) + 5(122 - 62.4))/1000 = 1.51$  ksf  
 $> \sigma'_{crit}$ , so use  $\sigma'_{crit}$  for Layer 3 or 1.21 ksf

Friction Resistance –  $c_a + \sigma'_H \cdot \tan \delta$  – for smooth concrete use 0.3 for  $\tan \delta$

$\sigma'_H = Ks \cdot \sigma'_o$  unless  $\sigma'_o > \sigma'_{crit}$ , then  $\sigma'_H = Ks \cdot \sigma'_{crit}$

Layer 1 & 2 friction ( $f$ ) =  $c_a = 0.675$  ksf ( $0.9 \cdot C_u$ )

Layer 3 friction ( $f$ ) =  $\sigma'_H \cdot \tan \delta = \sigma'_{crit}(Ks)(\tan \delta)$  – Use  $Ks = 3$  from Table

$\sigma'_H = (1.21)(3)(0.3) = 1.09$  ksf

$Q_f = \sum \Delta L(p)(f) = 20(12/12)(4)(0.675) = 54$  kips for clay

$= 10(12/12)(4)(1.09) = 43.6$  kips for sand

Total  $Q_f = 54 + 43.6 = 97.6$  kips

### Point Resistance

$q_u = q_p = c' \cdot N_{c*} + q \cdot N_{q*} + (\gamma \cdot B)/2 \cdot N_{\gamma*}$   $N_{\gamma*} = N_{q*} = 130$

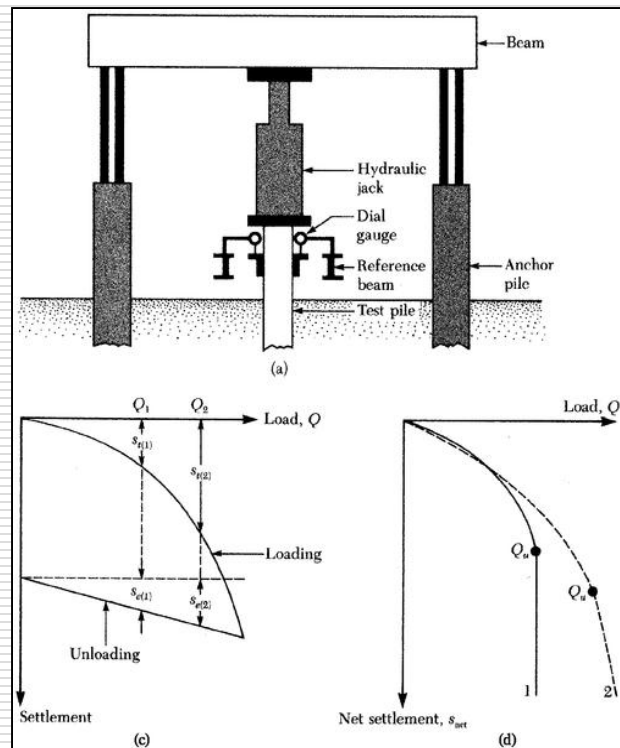
$q \cdot N_{q*} = \tan \phi \cdot N_{q*} = 87.7$  ksf (limiting value)

$(\gamma \cdot B)/2 \cdot N_{\gamma*} = ((122 - 62.4)(12/12)/2(130))/1000 = 3.9$  ksf (could be ignored)

$q_u = 87.7 + 3.9 = 91.6$  ksf

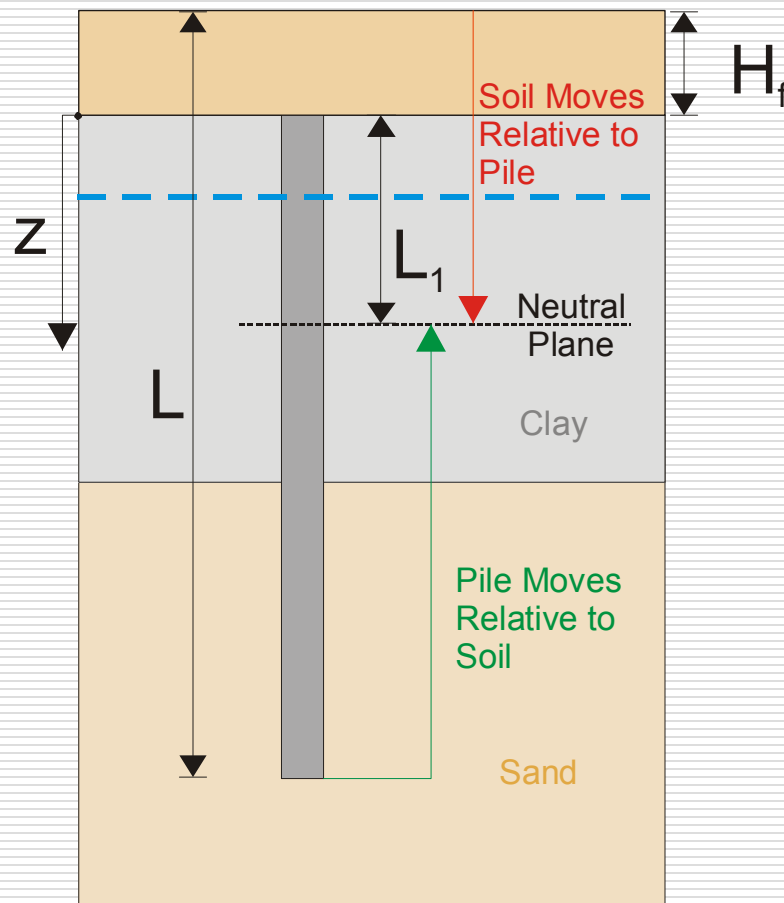
$Q_p = q_u \cdot A_p = 91.6(12/12)^2 = 91.6$  kips  $Q_{total} = Q_f + Q_p = 97.6 + 91.6 = 189.2$  kips

# Pile Load Testing



- (a) Schematic diagram of pile load test arrangement
- (c) plot of load against total settlement
- (d) plot of load against net settlement

# Negative skin friction (Downdrag)



There will not be negative skin friction (downdrag) unless the soil moves more than the pile.

# Negative skin friction

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$$L_1 = \frac{(L - H_f)}{2} \cdot \left[ \frac{(L - H_f)}{2} + \frac{(\gamma'_f \cdot H_f)}{\gamma'} \right] - \frac{(2 \cdot \gamma_f \cdot H_f)}{\gamma'}$$

*Note: In the original image, a red arrow points from the L<sub>1</sub> on the left to the L<sub>1</sub> in the denominator of the second term.*

Solver in Excel

$$Q_d = \sum_{z=0}^{L_1} (p \cdot \Delta L \cdot f)$$

where **p** = perimeter of the pile

**ΔL** = incremental pile length over

which **p** and **f** are taken

**f** = unit friction resistance

**H<sub>f</sub>** = height of fill

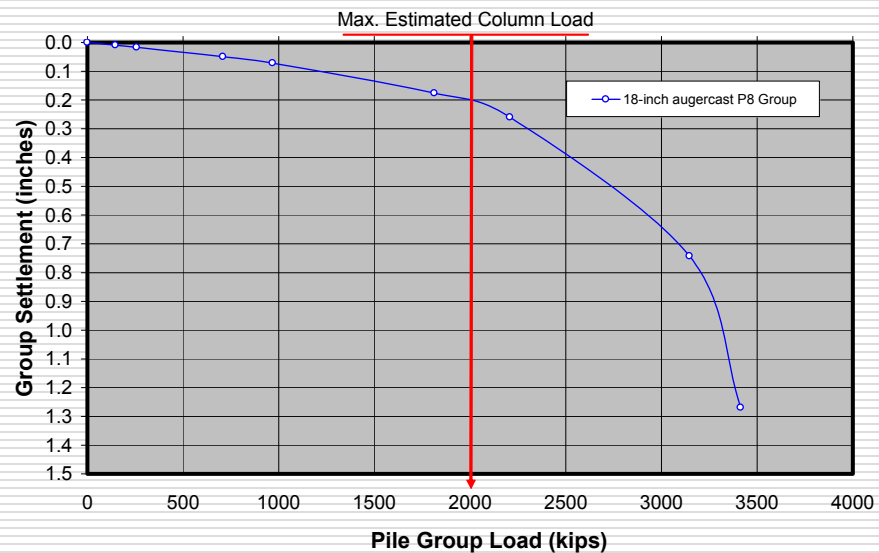
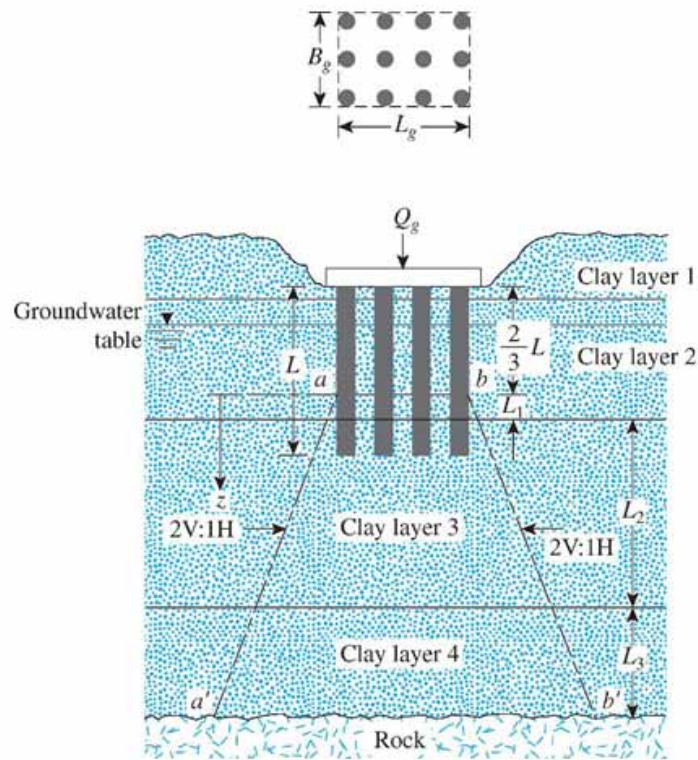
**γ<sub>f</sub>** = unit weight of fill

**γ'** = bouyany unit weight

**L<sub>1</sub>** – depth to neutral point

# Consolidation settlement of group piles

**Group Efficiency  
is 100% when  
pile to pile spacing  
is  $\geq 3D$**





# Homework

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CE 430 & 530

- 11.1a
- 11.8
- Calculate pile capacity shown below with 4 feet of sand fill creating negative skin friction for problem in figure below using Sowers.

